



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. Baltersee 2-2-2

In re application of: Jens Baltersee et al.

Serial No.: 09/760,094
Filed: 01/12/01
Matter No.: 992.1256

Group Art Unit: 2637
Examiner: Jean Corrielus
Phone No.: 571-272-3020

For: Method and Rake Receiver for Code-Tracking in Communication Systems

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof. Submission of references is not an admission that those references are in fact prior art.

Certification Under 37 CFR § 1.704(d)

- [] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

- [] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

* * * * *

Certification Under 37 CFR 1.8

Date of Deposit 10/10/06

I hereby certify that this paper and any accompanying papers or fees are being deposited with the U.S. Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated above and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gerry Marrero
(Name of person mailing)

Gerry Marrero
(Signature of person mailing)

10/13/2006 CNGUYEN 00000020 09760094

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Fee Under 37 CFR § 1.97(c)

[X] The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).

Petition Under 37 CFR § 1.97(d)

[] This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$180.00 as set forth in § 1.17(p).

Provision of Copies of References

[] A copy of each of the listed references is enclosed herewith and forms a part hereof.

[] A translation of each of Reference(s) ___ is enclosed herewith and forms a part hereof.

[] A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

[] A copy of the European Search Report from a corresponding EPO application is enclosed herewith.

[] A copy of the final U.S. office action for U.S. patent application no. _____ filed on _____ as attorney docket no. (Case name or our ref.).

[] The above-captioned U.S. patent application is a

- [] continuation
- [] divisional
- [] continuation-in-part

application of U.S. application serial number _____, filed on _____ as Attorney Docket No. _____. Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

Authorization to Charge Credit Card Account

[X] Form PTO-2038 authorizing credit card payment in the amount of \$180.00.

Authorization To Charge Deposit Account

[X] Authorization is given to charge Mendelsohn & Associates, P.C. Deposit Account No. 50-0782 for any fee under § 1.97(c) or § 1.97(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Date: 10/10/06
Customer No. 46900
Mendelsohn & Associates, P.C.
1500 John F. Kennedy Blvd., Suite 405
Philadelphia, Pennsylvania 19102

Respectfully submitted,

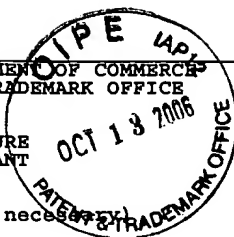
Steve Mendelsohn
Steve Mendelsohn
Registration No. 35,951
Attorney for Applicant
(215) 557-6657

Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

SERIAL NO.

Baltersee 2-2-2
APPLICANT

09/760,094

Jens Baltersee; Gunnar Fock; and Peter Schulz-Rittich
FILING DATE GROUP

01/12/2001

2637

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

DA	Andreas Wilde, "Extended Tracking Range Delay-Locked Loop," 1995 IEEE, German Aerospace Research Establishment (DLR), Germany, pp. 1052-1054.
DB	Volker Aue et al., "A Non-Coherent Tracking Scheme for the RAKE Receiver That Can Cope With Unresolvable Multipath," ICC '99, Vancouver, Canada, June, 1999, pp. 1-5.
DC	Andrew J. Viterbi, "CDMA Principles of Spread Spectrum Communication," Addison-Wesley Publishing Company, Library of Congress Cataloging-in-Publication Data, ISBN 0-201-63374-4, 1995, pp.1-245
DE	Heinrich Meyr et al., "Digital Communication Receiver Synchronization, Channel Estimation, and Signal Processing," Wiley Series in Telecommunications and Signal Processing, Wiley-Interscience Publication, 1998, pp. 1-827.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.